

Form PTO-1449 (Modified)

Atty Docket No.:
04667.P005Serial No.:
09/872,938

List of Patents and Publications Statement

(Use several sheets if necessary)

Applicants:
Michael R. LynchFiling Date:
May 31, 2001RECEIVED
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Technology Center 2100

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Exam. Initial	Date	Document Number	Name	Class	Sub- Class	Filing Date

FOREIGN PATENT DOCUMENTS

No.	Document No.	Date	Country	Name	Class	Sub- Class	Trans- lation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

ln		Autonomy, Technology White Paper, September 1997, pages 1-17. www.autonomy.com
		Autonomy, XML White Paper, November 2000, pages 1-9. www.autonomy.com
		Autonomy - Adding Intelligence to the XML, November 2000, pages 1-3. http://www.autonomy.com/autonomy/dynamic/autopage466.shtml
		Autonomy, FIRST TO FULLY AUTOMATE XML TAGGING, June 1998, pages 1-2. http://www.autonomy.com/autonomy/dynamic/autopage150.shtml
		P.J. Rayner and M.R. Lynch, ICASSP 90 volume 2 S2 VA, Speech Processing 2 VLSI Audio and Electroacoustics, Complexity Reduction in Volterra Connectionist Modelling by Consideration of Output Mapping, April 3-6, 1990, pages 885-888.
		M.R. Lynch, P.J. Rayner and S.B. Holden, ICASSP 91 volume 3 D, Digital Signal Processing, Removal of Degeneracy in Adaptive Volterra Networks by Dynamic Structuring, May 14-17, 1991, pages 2069-2072.
ln		Autonomy, Kenjin, Bring autonomy's technology to your PC, March 2001 http://www.autonomy.com/autonomy/dynamic/autopage203.shtml

Examiner



Date Considered

2/8/04

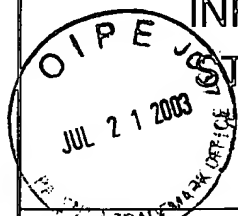
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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)



Complete if Known

Application Number	09/872,938
Filing Date	May 31, 2001
First Named Inventor:	Michael R. Lynch
Art Unit	2171
Examiner Name	N/A
Attorney Docket Number	004667.P005

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
lw		US-	6,006,221	12/21/1999	Liddy, et al.	JUL 23 2003
		US-	6,137,911	10/24/2000	Zhilyaev	
		US-	6,477,524 B1	11/05/2002	Taskiran, et al.	Technology Center 2100
		US-	6,523,026 B1	02/18/2003	Gillis	
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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First Named Inventor:	Michael R. Lynch
Art Unit	2171
Examiner Name	N/A

Sheet

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
lw		HAYKIN, S., <u>Adaptive Filter Theory</u> , Englewood Cliffs, N.J.: Prentice-Hall, 1986, Chapters 5 and 8.	
		IVANKHNENKO, A. "Heuristic Self-Organization Problems of Engineering Cybernetics Automatica," Vol. 6, March, 1970, pp. 207-219.	
		KLEMA, V., et al., "The Singular Value Decomposition: Its Computation and Some Applications," IEEE Trans. AC, Vol. AC-25, No. 2, April 1980, pp. 164-176.	
		POWELL, M.J.D., <u>Radial Basis Function Approximations to Polynomials</u> , "Proc. Department of Applied Mathematics and Theoretical Physics, <u>Numerical Analysis</u> , 1987.	
		RAYNER, P. et al., "A New Connectionist Model Based on a Non-Linear Adaptive Filter Process," ICASSP89, April, 1989.	
		SCHETZEN, Martin, <u>The Volterra and Weiner Theories of Non-Linear Systems</u> , New York, New York: John Wiley, 1980, Chapter 5.	
lw		XUE, Q., et al., "Analysis of the Hidden Units of the Multi-Layer Preceptron and its Application in Acoustic-to-Articulatory Mapping," Proc. ICASSP90, April, 1990.	

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Considered

2/8/04

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